

OCT 22 2010

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PATENTS
112056-0003
P01-1025

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:
Steven S. Watanabe

Serial No.: 09/898,894

Filed: July 3, 2001

For: SYSTEM AND METHOD FOR
PARALLELIZED REPLAY OF AN
NVRAM LOG IN A STORAGE
APPLIANCE

Examiner: Etienne Pierre Leroux

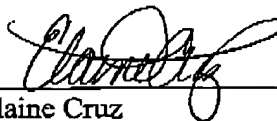
Art Unit: 2161

Confirmation No.: 7035

Cesari and McKenna, LLP
88 Black Falcon Avenue
Boston, MA 02210
October 22, 2010

CERTIFICATE OF TRANSMISSION

I hereby certify that the following paper is being facsimile transmitted to the
Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313, at (571) 273-8300
on October 22, 2010.


Elaine Cruz

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

ATTN: Assignment Division – Facsimile # (703) 308-7124

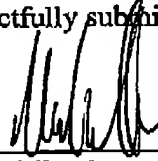
REQUEST TO CORRECT RECORDED ASSIGNMENT

The Assignee name is misspelled on the Recorded Assignment recorded on July
3, 2001. Please correct the Assignee name as shown on the attached copy of the Marked-
up Recorded Assignment.

OCT 22 2010

The Commissioner is hereby authorized to charge any fees under 37 C.F.R.
§§1.16 and 1.17 that may be required, or credit any overpayment, to our Deposit Account
No. 03-1237.

Respectfully submitted,



Michael T. Abramson
Reg. No. 60,320
CESARI AND MCKENNA, LLP
88 BLACK FALCON AVENUE
BOSTON, MA 02210
Telephone: (617) 951-2500
Facsimile: (617) 951-3927

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UNITED STATES DEPARTMENT OF COMMERCE
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112056-0003

WAL

SEPTEMBER 24, 2001

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CESARI AND MCKENNA, LLP
WILLIAM A. LOGINOV
88 BLACK FALCON AVE.
BOSTON, MA 02210



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CESARI & MCKENNA

N/A
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NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/03/2001

REEL/FRAME: 011986/0739
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
WATANABE, STEVEN S.

DOC DATE: 06/28/2001

ASSIGNOR:
EDWARDS, JOHN K.

DOC DATE: 06/28/2001

ASSIGNOR:
LEWIS, BLAKE H.

DOC DATE: 06/28/2001


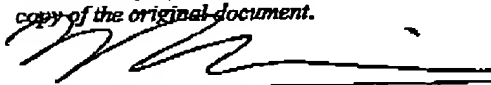
ASSIGNEE: **APPLIANCE**
NETWORK APPLIANCE, INC.
495 EAST JAVA DRIVE
SUNNYVALE, CALIFORNIA 94089

SERIAL NUMBER: 09898894
PATENT NUMBER:FILING DATE: 07/03/2001
ISSUE DATE:

011986/0739 PAGE 2

MARGARET LASALLE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

OCT 22 2010

07-23-2001  101786406		ET 101786406 09/898894 101786406	
To the Honorable commissioner of Patents Please record the attached original document		Name of receiving party(ies): Name: Network Appliance, Inc. Street Address: 495 East Java Drive City: Sunnyvale State: CA Zip: 94089 Additional name(s) & address(es) attached? Yes <input checked="" type="checkbox"/> No	
1. Name of conveying party(ies): Steven S. Watanabe John K. Edwards Blake H. Lewis 07/03/01 Additional name(s) of conveying party(ies) attached? Yes <input checked="" type="checkbox"/> No		3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Execution Date: June 28, 2001	
4. Application number(s) or patent number(s): June 28, 2001 If this document is being filed together with a new application, the execution date of the application is:			
A. Patent Application No.(s) 09/898894 Additional numbers attached? Yes <input checked="" type="checkbox"/> No		B. Patent No. (s) Additional numbers attached? Yes <input checked="" type="checkbox"/> No	
5. Name and address of party to whom correspondence concerning document should be mailed: William A. Loginov Name: Cesari and McKenna, LLP Street Address: 88 Black Falcon Ave. City: Boston State: MA Zip: 02210		6. Total number of applications and patents involved:..... 1 7. Total fee (37 CFR 3.41) \$40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account no. 03-1237 (Attach duplicate copy of this page if paying by deposit account)	
07/20/2001 TDIARZ1 00000126 09878894 0 FC:581 40.00 DP		DO NOT USE THIS SPACE	
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  William A. Loginov, Reg. No. 34,863 Date: 7/3/01 Total number of pages comprising cover sheet: 1			

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ASSIGNMENT

Whereas We, Steven S. Watanabe, whose residence address is 197 ^{Castro Way} San Jose, CA 95119, John K. Edwards, whose residence address is 1173 ^{Crandon} Court, Sunnyvale, CA 94087, and Blake H. Lewis, whose residence address is 216 Greer Road, Palo Alto, CA 94303, have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled SYSTEM AND METHOD FOR PARALLELIZED REPLAY OF AN ^{10/12/2001} ~~INVENTION~~ ^{CESARI & MCKENNA} A STORAGE APPLIANCE, identified by Cesari and McKenna File No. 1:12056-0003, which application was executed by us on June 28 2010; and

Whereas Network Appliance, Inc., whose address is 495 East Java Drive, Sunnyvale, CA 94089, and which, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited;

Now, Therefore, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservation:

1. Assign, transfer, and convey to Assignee our entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, continuation-in-part, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, reexaminations, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent;
2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;
3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct;
4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same Assignee without encumbrance;
5. Bind our heirs, legal representatives and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents

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including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which we have executed this Assignment as an instrument under seal on the dates indicated next to our names.

6/28/01 Steven S. Watanabe
Date Steven S. Watanabe, Inventor

State of California)
County of Santa Clara)ss.

On this _____ day of _____, 2001, before me appeared Steven S. Watanabe, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

[seal]

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10/22/2010 10:38 FAX

6/28/2001

Date

John K Edwards
John K. Edwards, Inventor

State of California

)
)ss.

County of Santa Clara

On this _____ day of _____, 2001, before me appeared John K. Edwards, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

[seal]

6/28/01

Date

Blake H Lewis
Blake H. Lewis, Inventor

State of California

)
)ss.

County of Santa Clara

On this _____ day of _____, 2001, before me appeared Blake H. Lewis, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

[seal]